**Fifteenth Edition** 

## Interviewing Principles and Practices





Charles J. Stewart | William B. Cash

# INTERVIEWING

Principles and Practices

FIFTEENTH EDITION

This page intentionally left blank

# INTERVIEWING

## Principles and Practices

FIFTEENTH EDITION

**Charles J. Stewart** 

Purdue University

William B. Cash, Jr.





#### INTERVIEWING: PRINCIPLES AND PRACTICES, FIFTEENTH EDITION

Published by McGraw-Hill Education, 2 Penn Plaza, New York, NY 10121. Copyright © 2018 by McGraw-Hill Education. All rights reserved. Printed in the United States of America. Previous editions © 2014, 2011, and 2008. No part of this publication may be reproduced or distributed in any form or by any means, or stored in a database or retrieval system, without the prior written consent of McGraw-Hill Education, including, but not limited to, in any network or other electronic storage or transmission, or broadcast for distance learning.

Some ancillaries, including electronic and print components, may not be available to customers outside the United States.

This book is printed on acid-free paper.

1 2 3 4 5 6 7 8 9 LCR 21 20 19 18 17

ISBN 978-1-259-87053-8 MHID 1-259-87053-7

Chief Product Officer, SVP Products & Markets: G. Scott Virkler Vice President, General Manager, Products & Markets: Michael Ryan Vice President, Content Design & Delivery: Betsy Whalen Managing Director: David Patterson Brand Manager: Penina Braffman Director, Product Development: Meghan Campbell Product Developer: Jamie Laferrera Marketing Manager: Meredith Leo Director, Content Design & Delivery: Terri Schiesl Program Manager: Jennifer Shekleton Content Project Managers: Lisa Bruflodt, Samantha Donisi-Hamm Buyer: Susan K. Culbertson Content Licensing Specialist: DeAnna Dausener Cover Image: Monkey Business Images/Cutcaster Compositor: MPS Limited Printer: LSC Communications

All credits appearing on page or at the end of the book are considered to be an extension of the copyright page.

#### Library of Congress Cataloging-in-Publication Data

Stewart, Charles J., author. | Cash, William B., author.
Interviewing : principles and practices / Charles J. Stewart, Purdue
University, William B. Cash, Jr.
Fifteenth Edition. | Dubuque : McGraw-Hill Education, [2017] |
Revised edition of the authors' Interviewing, [2014]
LCCN 2016042444 | ISBN 9781259870538 (alk. paper)
LCSH: Interviewing—Textbooks. | Employment
interviewing—Textbooks. | Counseling—Textbooks.
LCC BF637.I5 S75 2017 | DDC 158.3/9—dc23 LC record available at https://lccn.loc.gov/2016042444

The Internet addresses listed in the text were accurate at the time of publication. The inclusion of a website does not indicate an endorsement by the authors or McGraw-Hill Education, and McGraw-Hill Education does not guarantee the accuracy of the information presented at these sites.

To the memory of William "Bill" Cash, Jr., student, co-author, and friend

This page intentionally left blank

### **Charles J. Stewart**

Charles J. "Charlie" Stewart is the former Margaret Church Distinguished Professor of Communication at Purdue University where he taught from 1961 to 2009. He taught undergraduate courses in interviewing and persuasion and graduate courses in such areas as persuasion and social protest, apologetic rhetoric, and extremist rhetoric on the Internet. He received the Charles B. Murphy Award for Outstanding Undergraduate Teaching from Purdue University and the Donald H. Ecroyd Award for Outstanding Teaching in Higher Education from the National Communication Association. He was a Founding Fellow of the Purdue University Teaching Academy. He has written articles, chapters, and books on interviewing, persuasion, and social movements.

Charlie Stewart has been a consultant with organizations such as the Internal Revenue Service, the American Electric Power Company, Libby Foods, the Indiana University School of Dentistry, and the United Association of Plumbers and Pipefitters. He is currently a Court Appointed Special Advocate (CASA) for children.

### William B. Cash, Jr.

The late William "Bill" Cash began his work life in his father's shoe and clothing store in northern Ohio. While still in high school, he began to work in broadcasting and advertising, and this led to bachelor's and master's degrees in broadcasting and speech communication at Kent State University. After completing his academic work at Kent State, he joined the speech communication faculty at Eastern Illinois University and began to consult with dozens of companies such as Blaw-Knox, IBM, and Hewitt Associates. Bill took a leave from Eastern Illinois and pursued a PhD in organizational communication under W. Charles Redding. He returned to the faculty at Eastern Illinois and created and taught a course in interviewing.

Bill Cash left college teaching and held positions with Ralston Purina, Detroit Edison, Baxter, and Curtis Mathis, often at the vice president level. After several years in industry, he returned to teaching and took a faculty position at National-Louis University in Chicago. He became the first chair of the College of Management and Business and developed courses in human resources, management, and marketing.

This page intentionally left blank

## BRIEF CONTENTS

Preface xvii

- **1** An Introduction to Interviewing 1
- 2 An Interpersonal Communication Process 9
- 3 Questions and Their Uses 33
- **4** Structuring the Interview 49
- 5 The Informational Interview 71
- 6 The Survey Interview 99
- 7 The Recruiting Interview 129
- 8 The Employment Interview 155
- 9 The Performance Interview 193
- **10 The Persuasive Interview** 215
- **11 The Counseling Interview** 253
- **12 The Health Care Interview** 275

Glossary 305 Author Index 319 Subject Index 323 This page intentionally left blank

## CONTENTS

### Preface xvii

### An Introduction to Interviewing 1

The Essential Characteristics of Interviews 1 Two Parties 1 Purpose and Structure 1 Interactional 2 **Ouestions** 2 *Exercise #1—What Is and Is Not an Interview? 3* Traditional Forms of Interviewing - 3 Information-Giving Interviews 3 Information-Gathering Interviews 3 Focus Group Interviews 4 Selection Interviews 4 Performance Review 4 Counseling 4 Persuasion 4 Technology and Interviewing 4 The Telephone Interview 5 Two-Way Video Technology 5 E-Mail 6 Webinars 6 SUMMARY 7 KEY TERMS AND CONCEPTS 7 STUDENT ACTIVITIES 8 NOTES 8 Resources 8

### An Interpersonal Communication Process 9

Two Parties in the Interview 9 Relational Dimensions 10

Global Relationships 12 Gender in Relationships 12 Interchanging Roles during Interviews 13 Directive Approach 13 Nondirective Approach 14 Perceptions of Interviewer and Interviewee 14 Perceptions of Self 14 Perceptions of the Other Party 16 Communication Interactions 16 Levels of Interactions 17 Self-Disclosure 17 Verbal Interactions 18 Nonverbal Interactions 20 Verbal and Nonverbal Intertwined 20 Gender and Nonverbal Interactions 21 Culture and Nonverbal Interactions 21 Nonverbal Interactions in the Global Village 21 Feedback 22 Listening for Comprehension 23 Listening for Empathy 23 Listening for Evaluation 23 Listening for Resolution 24 The Interview Situation 24 Initiating the Interview 24 Perceptions 24 Timing 25 Location and Setting 26 Territoriality 26 Seating 27 **Outside Forces** 28 SUMMARY 29 KEY TERMS AND CONCEPTS 30 STUDENT ACTIVITIES 30

Notes 31 Resources 32

### Questions and Their Uses 33

Open and Closed Questions 33 **Open Ouestions** 33 Closed Questions 34 Primary and Probing Ouestions 37 Types of Probing Questions 37 Skillful Interviewing with Probing Questions 40 Exercise #1—Supply the Probing Question 40 Neutral and Leading Questions 41 Exercise #2—Identification of Questions 42 Common Question Pitfalls 43 The Unintentional Bipolar Question 43 The Yes (No) Question 44 The Tell Me Everything Question 44 The Open-to-Closed Question 44 The Double-Barreled Question 44 *The Unintentional Leading Ouestion* 45 The Guessing Ouestion 45 The Curious Question 45 The Too High or Too Low Ouestion 45 The Don't Ask, Don't Tell Question 45 Exercise #3—What Are the Pitfalls in These Questions? 46 SUMMARY 47 KEY TERMS AND CONCEPTS 47 STUDENT ACTIVITIES 47 Notes 48 Resources 48

### Structuring the Interview 49

The Body of the Interview 49 Interview Guide 49 Interview Schedules 51 Exercise #1—Interview Schedules 52 Question Sequences 53

 Opening the Interview 56

 The Two-Step Process 57

 Nonverbal Communication in Openings 60

 Exercise #2—Interview Openings 62

 Closing the Interview 63

 Guidelines for Closing Interviews 63

 Closing Techniques 64

 Exercise #3—Interview Closings 66

 SUMMARY 68

 Key Terms AND CONCEPTS 68

 STUDENT ACTIVITIES 69

 NOTES 69

 RESOURCES 70

### The Informational Interview 71

Planning the Interview 71 Formulate Your Purpose 71 Research the Topic 72 Choose the Interviewee 73 Examine Your Relationship with the Interviewee 74 Study the Situation and Location 75 Structure Your Interview 76 The Interview Opening 77 Conducting the Interview 77 Motivating Interviewees 77 Asking Questions 78 *Note Taking and Recording* 80 Managing Unique Situations 82 Managing Difficult Interviewees 85 Closing the Interview -89 Preparing the Report or Story 89 The Interviewee in the Interview 90

#### Contents

Do Your Homework 90 Understand the Relationship 90 Know the Situation 91 Anticipate Questions 91 Listen to Questions 91 Answer Strategically 92 SUMMARY 93 KEY TERMS AND CONCEPTS 94 PROBING ROLE-PLAYING CASES 94 STUDENT ACTIVITIES 95 NOTES 96 **RESOURCES** 97

### The Survey Interview 99

Purpose and Research 99 Structuring the Interview 100 Interview Guide and Schedule 100 The Opening 100 The Closing 102 Survey Questions 102 Phrasing Questions 103 Sample Question Development 104 Probing Questions 105 Question Strategies 105 **Ouestion Scales** 108 Question Sequences 112 Selecting Interviewees 112 Defining the Population 112 Sampling Principles 112 Sampling Techniques 113 Selecting and Training Interviewers 115 Number Needed 115 **Oualifications** 115 Personal Characteristics 116 Training Interviewers 116 Conducting Survey Interviews 117

Pretesting the Interview 117 Interviewing Face-to-Face 118 Interviewing by Telephone 118 Interviewing through the Internet 120 Coding, Tabulation, and Analysis 121 Coding and Tabulation 121 Analysis 121 The Respondent in Survey Interviews 122 The Opening 122 The Question Phase 123 SUMMARY 123 KEY TERMS AND CONCEPTS 124 SURVEY ROLE-PLAYING CASES 124 STUDENT ACTIVITIES 125 NOTES 126 Resources 127

### The Recruiting Interview 129

Where to Find Talented Applicants 129
Preparing the Recruiting Effort 131

Reviewing EEO Laws 131
Exercise #1—Testing Your Knowledge of EEO Laws 133
Developing an Applicant Profile 134
Assessing Today's Applicants 135

Obtaining and Reviewing Information

on Applicants 136 Application Forms 136 Cover Letters 136 Resumes 136 Letters of Recommendation and References 137 Standardized Tests 138 Social Media 139

Conducting the Interview 140 The Atmosphere and Setting 140 The Interview Parties 140 Opening the Interview 141 The Body of the Interview 142 Asking Questions 143 Giving Information 146 Closing the Interview 147 Evaluating the Interview 147 SUMMARY 149 Key TERMS AND CONCEPTS 149 RECRUITING ROLE-PLAYING CASES 149 STUDENT ACTIVITIES 150 NOTES 151 RESOURCES 153

### The Employment Interview 155

Analyzing Yourself 155 Questions to Guide Your Self-Analysis 155 Doing Research 157 Research Your Field 157 Research the Position 158 Research the Organization 158 Research the Recruiter 159 Research Current Events 159 Research the Interview Process 159 Conducting the Search 160 Networking 160 Web Sites, Classified Ads, and Newsletters 161 *Career Centers and Employment Agencies* 162 The Career/Job Fair 162 Knocking on Doors 163 Presenting Yourself to the Employer 163 Branding 164 Résumés 164 The Portfolio 173 The Cover Letter 173 Creating a Favorable First Impression 175 Attitudes 175 Dress and Appearance 175

Nonverbal Communication 177 Interview Etiquette 178 Answering Ouestions 179 Preparing to Respond 179 Structuring Answers 180 Responding Successfully 180 Responding to Unlawful Questions 181 Exercise #1—Which Questions Are Unlawful and Why? 182 Asking Questions 184 Guidelines for Asking Questions 185 Question Pitfalls 185 Exercise #2—Applicant Pitfalls 185 Sample Applicant Questions 186 The Closing 187 Evaluation and Follow-Up 187 Handling Rejection 188 SUMMARY 188 KEY TERMS AND CONCEPTS 189 EMPLOYMENT ROLE-PLAYING CASES 189 STUDENT ACTIVITIES 190 Notes 191 RESOURCES 192

### The Performance Interview 193

Approaching the Interview as a Coaching
Opportunity 194
Preparing for the Performance Interview 195 *Reviewing Rules, Laws, and Regulations* 195
Selecting Review Model 196 *Behaviorally Anchored Rating Scales (BARS) Model* 196 *Management by Objectives (MBO) Model* 197 *Universal Performance Interviewing (UPI) Model* 197 *The 360-Degree Approach* 200
The Performance Interview 202

#### Contents

Planning the Interview 202 Opening the Interview 202 Discussing Performance 203 Setting New Goals and a Plan of Action 204 Closing the Interview 204 The Employee in the Performance Review 204 The Performance Problem Interview 205 Determine Just Cause 206 Prepare for the Interview 206 Keep Self and the Situation under Control 208 Focus on the Problem 208 Avoid Conclusions during the Interview 209 Closing the Interview 209 SUMMARY 209 KEY TERMS AND CONCEPTS 210 PERFORMANCE REVIEW ROLE-PLAYING CASES 210 STUDENT ACTIVITIES 211 NOTES 212 **Resources** 213

### The Persuasive Interview 215

The Ethics of Persuasion 215 What Is Ethical? 215 Fundamental Ethical Guidelines 216

## Part 1: The Interviewer in the Persuasive Interview 217

Analyzing the Interviewee 218 Personal Characteristics 218 Educational, Social, and Economic Backgrounds 218 Culture 218 Values/Beliefs/Attitudes 219 Emotions 221 Analyzing the Situation 221

Atmosphere 221

Timing 222 Physical Setting 222 Outside Forces 222 Researching the Issue 223 Sources 223 Types of Evidence 223 Planning the Interview 223 Determine Your Purpose 223 Select Main Points 224 Develop Main Points 224 Select Strategies 226 Conducting the Interview 228 Opening 228 Need or Desire 229 **Ouestions** 230 Adapting to the Interviewee 231 The Solution 233 Considering the Solution 234 Handling Objections 234 Closing 236 Summary Outline 238

## Part 2: The Interviewee in the Persuasive Interview 239

Be an Informed Participant 239 Psychological Strategies 239 Be a Critical Participant 240 Language Strategies 240 Logical Strategies 243 Evidence 245 The Opening 245 Need or Desire 246 Criteria 246 Solution 246 The Closing 247 SUMMARY 247 KEY TERMS AND CONCEPTS 248 PERSUASION ROLE-PLAYING CASES 249 STUDENT ACTIVITIES 250

Notes 251 Resources 252

### The Counseling Interview 253

253 Ethics and the Counseling Interview Establish and Maintain Trust 254 Act in the Interviewee's Best Interests 254 Understand Your Limitations 254 Do Not Impose Your Values, Beliefs, and Attitudes 255 Respect Diversity 255 Maintain Relational Boundaries 256 Do No Harm 256 Prepare Thoroughly for the Counseling Interview 256 Select an Interviewing Approach 257 Select a Structure 258 Select the Setting 259 Conducting the Interview 260 The Opening 260 Encourage Self-Disclosure 261 Listen 262 Observe 262 Question 263 Respond 264 The Closing 268 *Evaluate the Interview* 268 The Telephone Interview 268 SUMMARY 269 KEY TERMS AND CONCEPTS 269 COUNSELING ROLE-PLAYING CASES 269 STUDENT ACTIVITIES 271 Notes 272 **Resources** 273

The Health Care Interview 275 Ethics and the Health Care Interview 275 Patient-Centered Care (PCC) 276 Sharing Control 278 Appreciating Diversity 278 Creating and Maintaining Trust 280 Opening the Interview 281 Enhancing the Climate 281 Establishing Rapport 282 **Opening Questions** 283 Getting Information 283 Barriers to Getting Information 284 Improving Information Getting 285 Addressing the Language Barrier 288 Giving Information 289 Causes for Loss and Distortion of Information 289 Giving Information More Effectively 291 Counseling and Persuading 292 Barriers to Effective Counseling and Persuading 293 *Effective Counseling and Persuading* 293 Closing the Interview 296 SUMMARY 296 KEY TERMS AND CONCEPTS 297 HEALTH CARE ROLE-PLAYING CASES 297 STUDENT ACTIVITIES 298 Notes 298 Resources 304 Glossary 305 Author Index 319

Subject Index 323

## PREFACE

his fifteenth edition of *Interviewing: Principles and Practices* continues to focus on the fundamental principles applicable to all forms of interviewing and to seven specific types of interviewing while incorporating the latest in research, interpersonal communication theory, the uses of technology and social media, the role of ethics in interviewing, and EEO laws that affect employment and performance interviews. While we have included recent research findings and developments, the emphasis remains on building the interviewing skills of both interviewers and interviewees. Several chapters address the increasing diversity in the United States and our involvement in the global village as they impact the interviews in which we take part.

A major goal of this edition was to make it more user-friendly by sharpening the writing style, eliminating unnecessary materials and redundancies, making definitions and explanations more precise, and employing different print types to emphasize critical words, terms, concepts, and principles. We have restructured several chapters to provide clarity and logical progressions from point to point.

### Changes in the Fifteenth Edition

- Chapter 1 includes a more focused development of the definition of interviewing to enable students to see the similarities and differences of interviewing from other types of interpersonal communication with an emphasis on collaboration between parties. There is a detailed discussion of how technology, beginning with the telephone, has impacted the nature of interviews, the growing use of two-way video technology to conduct interviews, and the serious implications this has for how we communicate interpersonally.
- Chapter 2 includes an expanded treatment of the nature and types of relationships in interviews and how these affect the essential collaborative process that ensues; the importance of trust, self-esteem, and self-worth in what parties are willing to disclose during interviews; the dangers of assuming that communication is taking place; and how gender and cultural differences affect our use and interpretation of language.
- Chapter 3 includes sharper and clearer explanations and illustrations of question types, the uses of questions as the tools of the trade, and a refined treatment of common question pitfalls that make it more difficult to perform interview tasks efficiently and effectively.
- Chapter 4 includes clearer and expanded explanations of interview guides and schedules, question sequences, rapport and orientation in openings, types of openings and closings, and the importance of making openings and closings dialogues rather than monologues.

- Chapter 5 includes expanded discussions of planning for and structuring informational interviews, using criteria for selecting interviewees, conducting and taking part in videoconference interviews, and managing difficult interviewees.
- Chapter 6 includes refined discussions of qualitative and quantitative surveys, sampling techniques, incentives designed to increase participation, advantages and disadvantages of face-to-face interviews, and the telephone survey.
- Chapter 7 includes revised and expanded discussions of searching for new talent (internships, career and job fairs, kiosks, and Web sites), reviewing EEO laws, understanding and adapting to the unique characteristics of the millennial generation, reviewing applicant materials prior to the interview, structuring interviews, asking on-the-job questions, and closing the interview effectively.
- Chapter 9 includes emphases on conducting the performance review interview as a coaching opportunity, selecting an appropriate review model, employing a 360-degree approach, establishing a relaxed and supportive climate, orienting the employee, and avoiding a "gunnysacking" approach in the performance problem interview in which the interviewer stores up grievances and then dumps them on an employee all at once.
- Chapter 10 includes new and revised materials on ethics and persuasion, the criteria essential for successful persuasive interviews, how to establish substantial similarity with the interviewee, the use of questions in persuasive interviews, how to anticipate and respond to objections, and how to be an active and critical interviewee.
- Chapter 11 includes revised treatments of the nature of the counseling interview; the role of lay counselors who are similar to counselees and open, caring, and good listeners; a code of ethics for the counseling interview; trust as the cornerstone of the counseling relationship; respect for and understanding of the interviewee's capabilities of making sound choices and decisions; the necessity to be culturally aware in today's global village; and maintaining relational boundaries.
- Chapter 12 includes emphasis on the roles we all play in health care interviews, the critical importance of relationship between health care provider and patient, the sharing control during the interview, the influences of culture and gender in health care interactions, ways to lessen the negative impact of long waiting periods, opening questions, reasons for patient resistance to disclosure during interviews, ways to lessen the loss of information during and after interviews, how collaboration can promote self-persuasion, compliance with recommendations, and closing interviews.

### **Chapter Pedagogy**

The **role-playing cases** at the ends of Chapters 5 through 12 provide students with opportunities to design and conduct practice interviews and to observe others' efforts to employ the principles discussed. **Student activities** at the end of each chapter provide ideas for in- and out-of-class exercises, experiences, and information gathering. We have made many of these less complex and time-consuming. The **up-to-date readings** at the

end of each chapter will help students and instructors who are interested in delving more deeply into specific topics, theories, and types of interviews. The glossary provides students with definitions of key words and concepts introduced throughout the text.

### Intended Courses

This book is designed for courses in speech, communication, journalism, business, supervision, education, political science, nursing, criminology, and social work. It is also useful in workshops in various fields. We believe this book is of value to beginning students as well as to seasoned veterans because the principles, research, and techniques are changing rapidly in many fields. We have addressed theory and research findings where applicable, but our primary concern is with principles and techniques that can be translated into immediate practice in and out of the classroom.

### **Ancillary Materials**

## 

The 15th edition of *Interviewing: Principles and Practices*, is now available online with Connect, McGraw-Hill Education's integrated assignment and assessment platform. Connect also offers SmartBook for the new edition, which is the first adaptive reading experience proven to improve grades and help students study more effectively. All of the title's website and ancillary content is also available through Connect, including:

- A sample interview that illustrates the type of interview, situation, principles, practices, and mistakes parties make to challenge students to distinguish between effective and ineffective techniques, questions, and responses and know how to remedy them.
- An Instructor's Manual, written by Charles Stewart, for each chapter.
- A full Test Bank of multiple choice questions that test students on central concepts and ideas in each chapter.
- Lecture Slides for instructor use in class.

### Acknowledgments

We wish to express our gratitude to students at Purdue University and National-Louis University College of Management, and to past and present colleagues and clients for their inspiration, suggestions, exercises, theories, criticism, and encouragement. We thank Suzanne Collins, Mary Alice Baker, Vernon Miller, Kathleen Powell, Garold Markle, and Patrice Buzzanell for their resources, interest, and suggestions.

We are very grateful to the following reviewers for the many helpful comments and suggestions they provided us:

Merry Buchanan, University of Central Oklahoma

Rebecca Carlton, IU Southeast

Valerie B. Coles, University of Georgia Stephanie Coopman, San Jose State University Erin F. Doss, Indiana University Kokomo Cheri Hampton-Farmer, The University of Findlay Delia O'Steen, Texas Tech University Christopher S. Perrello, Syracuse University Cynthia A. Ridle, Western Illinois University Sue Stewart, Texas State University This page intentionally left blank





### McGraw-Hill Connect<sup>®</sup> Learn Without Limits

Connect is a teaching and learning platform that is proven to deliver better results for students and instructors.

Connect empowers students by continually adapting to deliver precisely what they need, when they need it, and how they need it, so your class time is more engaging and effective.

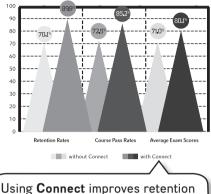
73% of instructors who use **Connect** require it; instructor satisfaction **increases** by 28% when **Connect** is required.

## Analytics -Connect Insight<sup>®</sup>

Connect Insight is Connect's new one-of-akind visual analytics dashboard—now available for both instructors and students—that provides at-a-glance information regarding student performance, which is immediately actionable. By presenting assignment, assessment, and topical performance results together with a time metric that is easily visible for aggregate or individual results, Connect Insight gives the user the ability to take a just-in-time approach to teaching and learning, which was never before available. Connect Insight presents data that empowers students and helps instructors improve class performance in a way that is efficient and effective.

## Mobile

Connect's new, intuitive mobile interface gives students and instructors flexible and convenient, anytime–anywhere access to all components of the Connect platform. Connect's Impact on Retention Rates, Pass Rates, and Average Exam Scores



Using **Connect** improves retention rates by **19.8%**, passing rates by **12.7%**, and exam scores by **9.1%**.

### Impact on Final Course Grade Distribution

thout Connect		with Connect	
22.9%	A	31.0%	
27.4%	В	34.3%	
22.9%	С	18,7%	
11.5%	D	6.1%	
15 <b>.</b> 4%	F	9.9%	

Students can view their results for any **Connect** course.

		TO DO	TO DO	
Duvid Ochetarena	LATE Acounting week 1 quiz	PRACTICE		
	START: 12/1 - DUE: 12/4 - ACCOUNTING SECTION 1			
	LATE CH 02 - Guiz Intermediate START: 12/1 - DUE: 12/10 - PUNTOS SPANISH 101 - SECTION 001	QUIZ		
	PRE LATE Chapter 4	HOMEWORK		
	START: 12/1 - DUE: 12/17 - ECONOMICS 101			
	Ch 05. En case: Vocabulario DUE: 12/32 - PUNTOS SPANISH 101 - SECTION 001			
	CN 05 States of Consciousness START: 12/12 — DME: 12/23 - PSYCHOLOGY 191 - SECTION 1A	HOMEWORK		
	Guiz - Extra Credit START: 12/18 - DUE: 12/24 - PSYCHOLOBY 101 - SECTION 1A	QU12 >		
connect	RECHARGE Ch 02. En la universidad: Vocabulario DUE: 12/7 - PUNTOS SPANISH 191 - SECTION do1	1 <u>1</u>		

## Adaptive



## THE **ADAPTIVE READING EXPERIENCE** DESIGNED TO TRANSFORM THE WAY STUDENTS READ

STUDENTS WANT

SMARTBOOK<sup>®</sup>

More students earn **A's** and **B's** when they use McGraw-Hill Education **Adaptive** products.

### SmartBook<sup>®</sup>

Proven to help students improve grades and study more efficiently, SmartBook contains the same content within the print book, but actively tailors that content to the needs of the individual. SmartBook's adaptive technology provides precise, personalized instruction on what the student should do next, guiding the student to master and remember key concepts, targeting gaps in knowledge and offering customized feedback, and driving the student toward comprehension and retention of the subject matter. Available on tablets, SmartBook puts learning at the student's fingertips—anywhere, anytime.

Over **8 billion questions** have been answered, making McGraw-Hill Education products more intelligent, reliable, and precise.

of students reported SmartBook to be a more effective way of reading material of students want to use the Practice Quiz feature available within SmartBook to help them study. of students reported having 00% reliable access to off-campus wifi. of students say they would purchase SmartBook over print alone. of students reported that 95% SmartBook would impact their study skills in a positive way. Мc Findings based on 2015 focus group resu administered by McGraw-Hill Education

This page intentionally left blank

## CHAPTER

# An Introduction to Interviewing

A few years ago one of the authors was talking to a hospital administrator at a fund-raising event, and the administrator asked what classes he was teaching. When the author mentioned a class in interviewing that included several nursing students, the administrator replied that nursing students didn't need an interviewing course because jobs in nursing were plentiful. This administrator was exhibiting a common misconception about interviewing, that it is merely a job-seeking activity. In fact, interviewing is the most common form of purposeful, planned, and serious communication. An interview may be formal or informal, minimally or highly structured, simplistic or sophisticated, supportive or threatening, and momentary or lengthy. It may share characteristics with brief interactions, social conversations, small groups, and presentations, but it differs significantly from each.

The objectives of this chapter are to identify the essential characteristics of interviews, distinguish interviews from other forms of communication, identify and discuss traditional types of interviews, and examine the growing roles of technology in conducting and participating in interviews.

### The Essential Characteristics of Interviews

### **Two Parties**

Dyadic means two parties.

Interviews

occurrences.

are daily

Each interview is a dyadic—**two party**—process that typically involves **two people** such as a physician and a patient, an applicant and a recruiter, a police officer and an eyewitness, and political candidate and a donor. Some interviews involve more than **two people** but never more than **two parties**. For instance, four reporters may be interviewing a college golf coach, a travel director may be interviewing a husband and wife, or a surgical team may be interviewing the guardians of an elderly relative. In each case, there are **two distinct parties**—an interviewer party and an interviewee party. If a single party is involved (three students reviewing for a political science exam) or more than two parties are involved (four construction management firms bidding for a construction project), the interaction is not an interview.

### **Purpose and Structure**



One or both parties must arrive at an interview with a **predetermined** and **serious purpose**, a component that distinguishes the interview from social and unplanned conversations. Conversations and momentary meetings are rarely organized or



More than two people may be involved in an interview, but never more than two parties—an interviewer party and an interviewee party.

planned in advance, but interviews always have a degree of planning and structure that may include an opening, selection of topics, prepared questions, and background information. The predetermined purpose—to get or give information, to seek employment or recruit an employee, to counsel or be counseled, to persuade or be persuaded—will determine the nature of the planning and structure of the interview.

### Interactional

Interviews are **interactional** because both parties share and exchange roles, responsibilities, feelings,

beliefs, motives, and information. When one party does all of the talking and the other all of the listening, a speech—not an interview—is taking place with an audience of one or two. John Stewart writes that communication is a "continuous, complex, collaborative process of verbal and nonverbal meaning making."<sup>1</sup> This collaborative "meaning making" entails a **mutual** creation and sharing of messages that come from words and nonverbal signs (lowered voice, wink, a frown) that may express interest, compassion, understanding, belief, or disagreement during an interview. As communication **processes**, interviews are dynamic, ongoing, ever-changing interactions of message sending and receiving with a degree of **system** and **structure**. Once an interview commences, the parties cannot not communicate.<sup>2</sup> Even when they communicate poorly, they communicate something.

Parties exchange and share.

### Questions

Asking and answering **questions** play critical roles in all interviews. They are the dominant feature in market surveys and journalistic interviews. In others such as recruiting, counseling, and health care, questions share time with information sharing. And in others such as sales, training, and performance review, questions play strategic roles in obtaining or clarifying information and in altering a party's ways of thinking, feeling, or acting. They are literally the **tools of the trade** interview parties use to check the accuracy of messages sent and received, verify impressions and assumptions, and provoke feelings and thoughts. Chapter 3 will introduce you to the types and uses of questions.

An interview, then, is an interactional communication process between two parties, at least one of whom has a predetermined and serious purpose, that involves the asking and answering of questions.

With this definition as a guide, determine which of the following interactions constitutes an interview and which does not.

Questions play multiple roles in interviews.

### Exercise #1—What Is and Is Not an Interview?

- **1.** Three teachers are reviewing the School Board's proposal for hiring a new Vice Principal.
- **2.** A college recruiter for the women's basketball team is meeting with a family about a full-ride scholarship for April.
- **3.** A police officer is speaking with an eyewitness to the crash of a school bus.
- 4. A student is talking to his professor about a field project assignment.
- **5.** A member of a survey research team is talking to a stock broker about the effects of low oil prices on energy stocks.
- **6.** A professor is asking questions during her history class about a reading on the cold war.
- **7.** An employee runs into his supervisor at a grocery store and remembers to ask about taking a personal leave day to attend The Final Four.
- **8.** An auto sales associate is discussing a new Chevrolet model with a husband and wife.
- 9. A tennis player is talking to two surgeons about surgery on her elbow.
- **10.** Two members of a law firm are discussing the ramifications of an intellectual properties case.

### Traditional Forms of Interviewing

There are many traditional forms of interviewing, and these are usually identified according to situation and function. As you read this book, you will discover that many require one or both parties to have specialized training, specific abilities, and the willingness to share beliefs, attitudes, and feelings with others. Let us look at seven of these traditional forms.

### **Information-Giving Interviews**

Information giving is common but difficult. When two parties take part in orienting, training, coaching, instructing, and briefing sessions, they are involved in information-giving interviews, the purpose of which is to exchange information as accurately, effectively, and efficiently as possible. Information-giving interviews seem simple when compared to others—merely relating facts, data, reports, and opinions from one party to another, but they are deceptively difficult. Because this type is so common and critical in health care interviews, Chapter 12 discusses the principles, problems, and techniques of information giving.

### Information-Gathering Interviews

Information gathering is pervasive in our world. When two parties take part in surveys, exit interviews, research sessions, investigations, diagnostic sessions, journalistic interviews, and brief requests for information, the interviewer's purpose is to gather accurate, insightful, and useful information through the skillful use of questions, many created and phrased prior to the interview and others created on the spot to probe into interviewee responses, attitudes, and feelings. Chapter 5 discusses the principles and practices of moderately structured informational interviews such as journalistic interviews and investigations. Chapter 6 discusses the principles and practices of highly structured surveys and polls. And Chapter 12 discusses information gathering in the health care setting.

### **Focus Group Interviews**

The focus group interview usually consists of six to ten similar but unrelated interviewees with a single interviewer and concentrates on a specific issue or concern such as customer or client perspectives about a new or developing idea, product, or service. The interviewer guides the interview with a carefully crafted set of questions designed to generate interactions among the interviewees that produce a wide range of information, experiences, opinions, beliefs, attitudes, and understandings. Advocates of focus group interviews claim these interactions produce higher quality information and feedback.

### **Selection Interviews**

The most common selection interview occurs between a recruiter attempting to select the best qualified applicant for a position in an organization and an applicant attempting to attain this position. The placement interview occurs when a supervisor is trying to determine the ideal placement of a staff member already in the organization. This interview may involve a promotion, a restructuring of an organization, or a reassignment. Because the selection or employment interview plays such a major role in all of our personal and professional lives, we will focus in detail on the recruiter in Chapter 7 and the applicant in Chapter 8.

### **Performance Review**

When two parties focus on the interviewee's skills, performance, abilities, or behavior, it is a performance review (what once was called an appraisal interview). The purpose is to coach a student, employee, or team member to continue that which is good and to set goals for future performance. Chapter 9 focuses on models for conducting performance reviews and the principles essential for the performance problem interview.

### Counseling

When an interviewee has a personal or professional problem, the parties take part in a counseling interview in which the interviewer strives to help the interviewee attain insights into a problem and possible ways of dealing with this problem. Chapter 11 addresses the principles and practices of conducting and taking part in counseling interviews.

### Persuasion

In a persuasive interview, one party attempts to alter or reinforce the thinking, feeling, or acting of another party. The sales interview comes immediately to mind, but we are involved in persuasive interviews on a daily basis. They range from informal interactions such as one friend attempting to persuade another to go on a Caribbean cruise to a team from a construction management firm trying to persuade a university board of trustees to select its firm to manage the construction of a multimillion-dollar classroom and office complex. Chapter 10 focuses on the often complex interactions in persuasive interviews.

### **Technology and Interviewing**

Beginning with the invention of the telephone, technology has had an ever-increasing influence on how we conduct and take part in interviews. Most importantly, interview

Selection is critical in the lives of people and organizations.

Performance review is essential to employee and employer. parties no longer need to be face-to-face with one another but may be ear-to-ear, keyboard-to-keyboard, or screen to screen.

### The Telephone Interview

Telephone interviews have become so commonplace that states and the federal government have passed "Don't Call" legislation to protect our privacy and sanity, particularly at dinner time. The popularity of telephone interviews is easy to understand. They save time, reduce monetary expenses, and eliminate the necessity of sending one or more interviewers to widespread geographical locations. The telephone is most effective in interviews in which you want to ask brief and simple questions in a short time ranging from 10 to 15 minutes.

A major drawback of the telephone interview is the lack of **physical presence** of the parties. Hearing a voice is not the same as observing another's appearance, dress, manner, eye contact, face, gestures, and posture. Missing from telephone interviews are the subtle cues interviewers use to indicate that it's time to switch roles, to continue or end an answer, or that the interview is nearing the closing. While some interviewees prefer the anonymity and relative safety of the interview, others (particularly older ones) prefer face-to-face contacts and fear the growing frauds perpetrated over the telephone. One study found that interviewers prefer face-to-face interviews to the telephone, particularly if it is lengthy, and this negative attitude may affect how interviewees reply.

### Two-Way Video Technology

The growing sophistication of video technology such as Skype has reduced some of the problems associated with the telephone interview and enables parties to observe and hear one another in real time. These technologies enable interview parties in traditional interviews such as journalistic, employment, and medical and nontraditional interviews such as the videoconference to interact visually over long distance, faster, and with less expense. Advocates claim that two-way video interaction is a "virtual interview" because it is almost "like being there in person." In the "virtual interview," video production techniques are essential to "send the right vibe." These include selecting quality microphones and video technology, checking lighting and sound, manipulating the background or set with mood lighting and colors, selecting appropriate furniture, controlling distractions such as pets and family members, and maintaining the "illusion of eye contact." Unfortunately, even the best technology and manipulation of the scene enable the parties to see only head or upper body shots that are not the same as the total presence of face-to-face interviews. Some people find it difficult to interact freely and effectively with people on screens. With fewer interruptions and the absence of traditional cues that signal when a question has been answered or a point made, turns between parties tend to be longer and fewer in video interviews. This problem is enhanced in the videoconference in which each party may consist of two or more people. Reasons for liking videoconferences and Skype have serious implications for the communication that takes place. These perceived pluses include taking more notes, referring to notes, checking watches, and reading text messages. Both parties must be aware of the

The telephone interview is convenient and inexpensive.

Both parties must focus attention on the interaction.



The Internet can provide important information on positions and organizations and background on interviewers and interviewees.

importance of upper-body movement, gestures, eye contact, and facial expressions that are magnified on the screen when little else is visible to the other party. This may be why a high percentage of suggestions for being effective in "virtual interviews" pertain to video production concerns and techniques.

### E-Mail

The advent of e-mail enabled us to communicate almost instantly with others around the world at any time of day or night. It is a convenient and inexpensive means of sending and receiving mes-

sages. The question persists as to when sending and receiving "electronic mail" becomes an interview and not what its name clearly implies, mail. An interview is interactive in real time. If two parties are sitting at their keyboards at the same time and asking and answering questions without breaks in the interaction, including probing immediately into answers or altering questions to make them clearer or more effective, an interview is taking place. Otherwise, it is merely an electronic questionnaire. It is wise to make the e-mail interview your last choice such as when time, financial constraints, geographical distances, and unavailability of video technology make a face-to-face interview impossible. In the e-mail interview, there is no opportunity for the parties to see or hear one another, so all nonverbal elements critical to the interpersonal communication process are nonexistent. Some would argue that the e-mail interview is fairer for the person who is orally challenged, but the same argument applies for the person who is verbally challenged. Studies of e-mail interviews identify other disadvantages such as difficulty in opening interviews, establishing rapport, determining emotional reactions, and translating verbal symbols and acronyms.

The Internet lacks the nonverbal cues critical in interviews.

### Webinars

Webinars are rarely interviews. Webinars in which a presenter lectures or speaks to an audience on the Web are becoming popular for conferences, training sessions, seminars, and workshops. They are typically not interviews but electronic presentations. If a webinar is more collaborative and interactive between two parties with questions and answers in real time and perhaps over a telephone line or voice over technology, it may be an interview and more spontaneous and interpersonal than an e-mail interview. It is wise, however, to use a webinar for its primary purposes—training and teaching—rather than interviewing.

### ON THE WEB

Learn more about the growing uses of electronic interviews in a variety of settings. Search at least two databases under headings such as telephone interviews, conference calls, and video talk-back. Try search engines such as ComAbstracts (http://www .cios.org), Yahoo (http://www.yahoo.com), Infoseek (http://www.infoseek.com), and ERIC (http://www .indiana.edu/~eric\_rec). In which interview settings are electronic interviews most common? What are the advantages and disadvantages of electronic interviews? How will new developments affect electronic interviews in the future? How will the growing use of electronic interviews affect the ways we conduct traditional face-to-face interviews?

### Summary

Interviewing is an interactional communication process between two parties, at least one of whom has a predetermined and serious purpose, that involves the asking and answering of questions. This definition encompasses a wide variety of interview settings that require training, preparation, interpersonal skills, flexibility, and a willingness to face risks involved in intimate, person-to-person interactions. The increasing flexibility of technology is resulting in significant numbers of interviews no longer occurring face-to-face, and this is posing new challenges and concerns.

Interviewing is a learned skill, and your first hurdle into becoming a more skilled interviewer or interviewee is to overcome the assumption that what you do often you do well. Ten years of interviewing experience may mean that you have repeated the same mistakes over and over, year after year. Skilled interview participants are aware that practice makes perfect only if you know what you are practicing.

The first step in developing and improving interviewing skills is to understand the deceptively complex interviewing process and its many interacting variables. Chapter 2 explains and illustrates the interviewing process by developing step-by-step a model that contains all of the fundamental elements that interact in each interview.

### Key Terms and Concepts

Beliefs	Information-giving	Ques
Collaborative	interviews	Selec
Conversation	Interactional	Serio
Counseling	Internet	Skype
Dyadic	Interpersonal	Struct
Electronic interviews	Meaning making	Syste
E-mail interviews	Motives	Techr
Exchanging	Parties	Telep
Feelings	Performance review	Two-p
Focus group interviews	Persuasion	Video
Information-gathering	Predetermined purpose	Virtua
interviews	Process	Webi

Questions Selection interview Serious purpose Skype Structure System Technology Telephone interview Two-party process Videoconference interview Virtual interview Webinar

### **Student Activities**

- Keep a journal of interviews in which you take part during a week. How many were traditional, face-to-face interviews and how many were electronic? Which types tended to be traditional and which electronic? How were they similar and different? How did interactions vary? How did lack of presence, eye contact, appearance, facial expressions, and gestures appear to influence electronic interviews? How did you and the other parties try to compensate for this?
- 2. Make a list of what you consider to be essential characteristics of good interviews and then observe two interviews on television. How well did the interviewers and interviewees meet your criteria? What did they do best? What did they do poorly? How did the settings and situations seem to affect the interactions? If one or both parties were "celebrities," how did this seem to affect interactions, roles played, amount of time each asked and answered questions, and content of responses?
- **3.** Select a person you know superficially (classmate, co-worker, member of a fitness club) who is willing to be interviewed. Take part in a 10-minute interview and try to discover everything you can about this person. Which topics were covered and which avoided? How did the phrasing of questions seem to affect answers? How did your relationship with the other party affect the openness with which the two of you shared and revealed information?
- 4. Take part in a traditional job fair and a virtual job fair on or near your campus. After you have taken part in each, list what you liked and disliked about each. What did the face-to-face encounter with a prospective employer offer that an electronic encounter could not? And what did the electronic encounter offer that a face-to-face encounter could not? How did you prepare for each encounter? If the virtual job fair experience entailed simulated interviews, how did you react to these encounters?

### Notes

- 1. John Stewart, ed., Bridges Not Walls, 11th ed. (New York: McGraw-Hill, 2012), p. 16.
- Michael T. Motley, "Communication as Interaction: A Reply to Beach and Bavelas," Western Journal of Speech Communication 54 (Fall 1990), pp. 613–623.

### Resources

- Anderson, Rob, and G. Michael Killenberg. *Interviewing: Speaking, Listening, and Learning for Professional Life*. New York: Oxford University Press, 2008.
- DeJong, Peter. Interviewing for Solutions. Belmont, CA: Brooks/Cole, 2013.
- Holstein, James A., and Jaber F. Gubrium, eds. *Inside Interviewing: New Lenses, New Concerns*. Thousand Oaks, CA: Sage, 2003.
- Martin, Judith N., and Thomas K. Nakayama. *Experiencing Intercultural Communication*. New York: McGraw-Hill, 2011.
- Stewart, John. *Bridges Not Walls: A Book about Interpersonal Communication*. New York: McGraw-Hill, 2012.
- Parsons, Steven P. Interviewing and Investigating. New York: Aspen Law, 2013.

## An Interpersonal Communication Process

Interviewing is more than asking and answering questions.

CHAPTER

o improve your interviewing skills, you must start by understanding the **deceptively complex process** and its interrelated and interacting variables. An interview is far more complex than merely asking and answering questions or talking to someone. **The objectives of this chapter** are to develop a model of the process that summarizes, explains, and portrays the intricate and often puzzling nature of the typical interview. The completed model in Figure 2.8 looks very complicated because it summarizes a very complicated process.

### Two Parties in the Interview

Each party consists of unique and complex individuals.

Each interview contributes to a relational history. The overlapping circles in Figure 2.1 represent the two parties in every interview. Each party is a **unique sum** of culture, environment, education, training, and experiences. Each party is an aggregate of personality traits that range from optimistic to pessimistic, trusting to suspicious, honest to dishonest, patient to impatient, flexible to inflexible, and compassionate to indifferent. Each of you has specific beliefs, attitudes, and values. And each party is motivated by ever-evolving needs, interests, desires, and expectations.

You must also be aware that each person in each party communicates **intra-personally** as well as **inter-personally**. You literally talk to yourself. What you say to yourself and how you say it will influence the verbal and nonverbal messages you send and how you experience an interview. In a very real sense, "the whole person speaks and the whole person listens."<sup>1</sup>

Even though each party is made up of unique individuals, both parties must collaborate to make the interview a success. The circles overlap in Figure 2.1 to indicate the **relational nature** of the interview process in which the parties interact **with** one another. Each has a stake in the outcome of the interview, and neither party can **go it alone.** This relationship may commence with this interview or be another act in a **relational history** that dates from hours to weeks, months, or years. When parties begin a relational history, interactions may be brief or awkward because neither knows what to expect, how best to start the interaction, when to speak and listen, and what information to share. In some cultures, "all strangers are viewed as sources of potential relationships; in others, relationships develop only after long and careful scrutiny."<sup>2</sup> Stereotypes such as age, gender, race, and ethnicity may play significant negative roles in zero-history situations, particularly during the anxious opening minutes of